



35.C15838

Receipt #3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
MUNEKI NAKAO ET AL.)	Examiner: Not Yet Assigned
Application No.: 09/939,808)	Group Art Unit: 2622
Filed: August 28, 2001)	
For: COMMUNICATION APPARATUS)	
CAPABLE OF COMMUNICATION)	
WITH OTHER APPARATUSES)	
THROUGH WIRELESS)	
COMMUNICATION,)	
COMMUNICATION SYSTEM)	
HAVING THE SAME)	
COMMUNICATION APPARATUS,)	
AND METHOD FOR)	
CONTROLLING THE SAME)	November 1, 2001

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT


Sir:

Applicants' attorneys have received an official Filing Receipt in the above-identified application in which the filing date is incorrect. As evidenced by the copy of the stamped return receipt postcard, the filing date of the above-identified application should read --08/28/2001--.

Issuance of a corrected Filing Receipt, corrected as shown above, is accordingly respectfully requested.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,


Attorney for Applicants
LOCK SEE YU - JAMES
Registration No. 38,667

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

NY_MAIN 205199v1

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231



Date 8-28-01
Mo. Day Yr.
Atty. Dock # 38C15838

Sir:

Kindly acknowledge receipt of the accompanying:

- ☒ Specifications, claims and abstract 194 pages, with Transmittal form
- ☒ Patent Application Bibliographic Data Sheet 3 sheets
- ☐ Executed Oath or Declaration and Power of Attorney
- ☒ 72 Sheets of X formal informal drawings
- ☒ Check for \$ 950 (filing fee)
- ☐ Request for Continued Examination and Check for \$ _____
- ☐ Assignment, PTO-1595 and Check for \$ _____
- ☐ Transmittal Under 37 CFR 1.53(d) (CPA)
- ☐ Petition under 37 CFR 1.136 and check for \$ _____
- ☐ Other (specify) _____
- by placing your receiving date stamp hereon and mailing or renouncing to deliverer.
- This is a ☐ Continuation ☐ Divisional ☐ Continuation-In-Part
- Atty. D. J. H. F. Due Date 9.1.01
Mo. Day Yr.
- 37 CFR 1.8 ☐
37 CFR 1.10 ☐
By Hand ☒



FCH&S-A-02



UNITED STATES PATENT AND TRADEMARK OFFICE

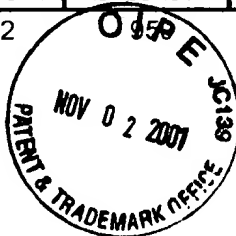
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/939,808	08/27/2001	2622	0359	35.C15838	72	14	6

08/28/2001

05514

FITZPATRICK CELLA HARPER & SCINTO
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112



CONFIRMATION NO. 2948

FILING RECEIPT



OC000000006807387

Date Mailed: 09/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Muneki Nakao, Chiba, JAPAN;
Tomoyuki Takeda, Ibaraki, JAPAN;
Naomi Nakamura, Chiba, JAPAN;
Koji Okamura, Ibaraki, JAPAN;
Makoto Mikuni, Kanagawa, JAPAN;
Michihiro Izumi, Chiba, JAPAN;
Yoshiyuki Hirai, Ibaraki, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-265475 09/01/2000
JAPAN 2000-288801 09/22/2000
JAPAN 2000-288802 09/22/2000
JAPAN 2000-288804 09/22/2000
JAPAN 2000-310823 10/11/2000
JAPAN 2000-310824 10/11/2000
JAPAN 2000-310825 10/11/2000
JAPAN 2000-316510 10/17/2000
JAPAN 2000-319898 10/19/2000
JAPAN 2000-321675 10/20/2000

If Required, Foreign Filing License Granted 09/27/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Communication apparatus capable of communication with other apparatuses through wireless communication, communication system having the same communication apparatus, and method for controlling the same

Preliminary Class

358

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

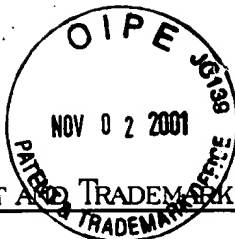
This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,808	08/27/2001	Muneki Nakao	35.C15838

CONFIRMATION NO. 2948

05514
FITZPATRICK CELLA HARPER & SCINTO
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

FORMALITIES LETTER



OC000000006807388

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/05/2001 AAD0F01 00000029 09939808

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35.C15838

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
MUNEKI NAKAO ET AL.)	Examiner: Not Yet Assigned
Application No.: 09/939,808)	Group Art Unit: 2622
Filed: August 28, 2001)	
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APPARATUS CAPABLE OF)	
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THROUGH WIRELESS)	
COMMUNICATION SYSTEM)	
HAVING THE SAME)	
COMMUNICATION)	
APPARATUS, AND METHOD)	
FOR CONTROLLING THE SAME :)	November 1, 2001

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL
PROCESSING AND CORRESPONDENCE BRANCH**

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED

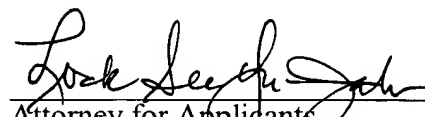
Sir:

In response to the NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED mailed September 28, 2001 enclosed please
find an executed Declaration/Power of Attorney form, together with the surcharge fee of
\$130.00. Also enclosed is a copy of the NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED.

The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 06-1205.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants
LOCK SEE YU-JAHNES
Registration No. 38,667

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